



05284.P001

PATENT#5
1/14/03IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peter M. Dickstein, et al.

Examiner: Unassigned

Serial No.: 09/752,650

Art Unit: 2185

Filed: December 29, 2000

For: SYSTEM AND METHOD TO ORGANIZE AND
MANAGE CORPORATE CAPITALIZATION
AND SECURITIES**RECEIVED**

DEC 30 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2100

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PETITION TO MAKE SPECIAL FOR PENDING APPLICATION[37 C.F.R. § 1.102(d) / MPEP § 708.2, II]

JAN 07 2003

Dear Sir:

GROUP 3600

This is a petition to advance the examination and processing of the above-identified patent application. This petition is submitted pursuant to 37 C.F.R. § 1.102(d) and MPEP § 708.2, II.

Applicants have become aware that there are products actually on the market and methods currently in use by others that infringe one or more of the claims currently pending in the present case. Over the course of the last several months, the inventors of the present invention have obtained documentation describing products and services that, after a rigid comparison with the pending claims, unquestionably infringe one or more of the pending claims. In addition, the Applicants have made a complete search of the prior art in this case and/or has a thorough working knowledge of the prior art in this case.

Advanced examination and processing of this patent application is respectfully requested.

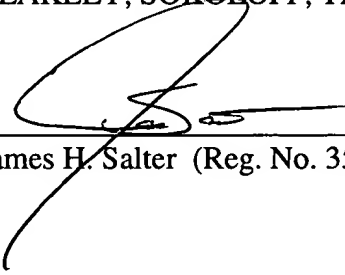
Applicants therefore respectfully request that this Petition to Make Special be granted and that examination of the present application be advanced to the fullest extent possible.

The petition fee of \$130 is included herewith. If there are any additional charges, please charge them to our Deposit Account Number 02-2666. If a telephone conference would facilitate the processing of this petition, the Examiner is invited to contact Jim H. Salter at (408) 720-8300.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 12/16/, 2002


James H. Salter (Reg. No. 35,668)

Customer No. 008791
12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on December 17, 2002.

Barbara Skliba

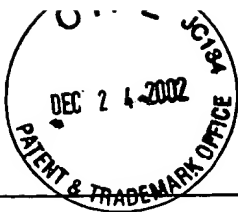
Name of Person Mailing Correspondence

Barbara Skliba

Signature

12/17/02

Date



2185-5

FEE TRANSMITTAL FOR FY 2003

3624

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known:

Application No. 09/752,650
Filing Date December 29, 2000
First Named Inventor Peter M. Dickstein
Group Art Unit 2185
Examiner Name Unassigned
Attorney Docket No. 05284.P001

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METHOD OF PAYMENT (check one)

DEC 30 2002

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Technology Center 2100

Deposit Account Number _____
Deposit Account Name _____

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, and charge any deficiencies to Deposit Account Number 02-2666, and credit any over payments to Deposit Account Number 02-2666

- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2. ☒ Payment Enclosed
☒ Check

Other _____

3. ☐ Applicant claims small entity.
See CFR 1.27

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JAN 07 2003

FEE CALCULATION (fees effective 11/29/00)

1. FILING FEE

GROUP 3600

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
1001	740	2001	370	Utility application filing fee	_____
1002	330	2002	165	Design application filing fee	_____
1003	510	2003	255	Plant filing fee	_____
1004	740	2004	370	Reissue filing fee	_____
1005	160	2005	80	Provisional application filing fee	_____

SUBTOTAL (1) \$ 0

2. CLAIMS

	Extra	Fee from below	Fee Paid
Total Claims _____ - 20 = _____	X	_____	_____
Independent Claims _____ - 3 = _____	X	_____	_____
Multiple Dependent Claims _____	X	_____	_____

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
1202	18	2202	9	Claims in excess of twenty	_____
1201	84	2201	42	Independent claims in excess of 3	_____
1203	280	2203	140	Multiple dependent claim	_____
1204	84	2204	42	Reissue independent claims over original patent	_____
1205	18	2205	9	Reissue claims in excess of 20 and over original patent	_____

SUBTOTAL (2) \$ 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	For filing a request for ex parte reexamination	
1251	110	2251	55	Extension for response within first month	
1252	400	2252	200	Extension for response within second month	
1253	920	2253	460	Extension for response within third month	
1254	1,440	2254	720	Extension for response within fourth month	
1255	1,960	2255	980	Extension for response within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,280	2453	640	Petition to revive - unintentional	
1501	1,280	2501	640	Utility issue fee (or reissue)	
1502	460	2502	230	Design issue fee	
1503	620	2503	310	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130.00
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	740	2809	370	For filing a submission after final rejection	
1814	110	2814	55	Statutory Disclaimer	
1810	740	2810	370	For each additional invention to be examined	
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1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal publication	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,280	1454	1,280	Acceptance of unintentionally delayed claim for priority	
Other:					
Other:					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ 130.00

SUBMITTED BY:

Typed or Printed Name: James H. Salter

Signature

Date

Reg. Number 35,668

Customer No. 008791

(complete if applicable)

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Barbara Skliba

Name of Person Mailing Correspondence

Signature

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GROUP 3600

2. CLAIMS

		<u>Extra</u>		<u>Fee from below</u>		<u>Fee Paid</u>
Total Claims	_____	- 20 =	_____	X	_____	= _____
Independent Claims	_____	- 3 =	_____	X	_____	= _____
Multiple Dependent Claims	_____		_____	X	_____	= _____

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SUBTOTAL (2) \$ 0

FEE CALCULATION (continued)
3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
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